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S I R:

Transmitted herewith for filing is: ☒ a new application
☐ a c-i-p application of S.N. _____ filed _____

Inventor(s): Seiichi KOBAYASHI

For: APPARATUS USING IN-BAND TYPE ACCESS SYSTEM

Enclosed are:

- ☒ 9 sheets of drawings.(Figs. 1-9)
☒ Specification, including claims and abstract (34 pages)
☒ Declaration
☒ An assignment of the Invention to FUJITSU LIMITED
☒ A certified copy of Japanese Application No. 11-009420
☒ An associate power of attorney
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27
☒ Post card
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☒ Information Disclosure Statement, PTO-1449, copies of 1 references
☐ Other _____
☐ Other _____

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SPECIFICATION

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT I, Seiichi Kobayashi, a citizen of Japan residing at Kawasaki-shi, Kanagawa, Japan have invented certain new and useful improvements in

APPARATUS USING IN-BAND TYPE ACCESS SYSTEM

of which the following is a specification : -

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TITLE OF THE INVENTION

APPARATUS USING IN-BAND TYPE ACCESS SYSTEM

BACKGROUND OF THE INVENTION

5 1. Field of the Invention

The present invention relates to a transmission apparatus using an in-band type SNMP (Simple Network Management Protocol) system.

10 2. Description of the Related Art

In a general transmission system, a group of apparatuses linked via transmission spans is located in a network forming the transmission system in a distributed formation. In such a real network configured by points (a group of apparatuses) and lines (a group of transmission lines), it is required to provide a management means or method for integrally managing the group of apparatuses and the group of transmission lines in such a manner that the network formed thereof is handled as only one object for management. Such a management means makes it possible to link the apparatuses arranged in the distributed formation and thus bring up the network to only one object.

In an STM (SONET/SDH) transmission system, 25 the management means or method is realized by using an overhead provided separately from a user data field (payload) in a transmission frame. In the overhead, two independent data communication channels are defined. More particularly, the above 30 two independent data communication channels are respectively a section DCC (192 kbps) and a line DCC (576 kbps) where DCC is an abbreviation of Data Communication Channel. A data packet having a control information field is transmitted via the 35 data communication channels. In the control information field, headers in the respective hierarchical levels based on the information switch

In an ATM (Asynchronous Transfer Mode) transmission system, a capability of transmitting data via the above-mentioned data communication channels is defined when loaded with the payload. More particularly, such a payload created in an STM(SONET/SDH) system (SONET: Synchronous Optical NETwork/Synchronous Digital Hierarchy) is used as a carrier. A fundamental operation/function in which a cell is placed in a logical transmission path/channel dynamically (or statically) set is monitored based on ATM switch information with which switch intelligence parts of the respective apparatuses are linked via meta channels.

The LAN-system CLAD units provided in the ATM system which are linked together (as starting
35 points of cell generation and ending points of cell termination) to transport cells by using the logical paths/channels set between the CLAD units view the

above ATM system as a virtual facility for conveying packets.

Originally, a site manager is defined in the management system of the LAN facility. An SNMP
5 (Simple Network Management Protocol) application is utilized in the site manager. Management information collection and delivery by SNMP packets that are created and terminated by the SNMP application is replaced by an ILMI
10 (Integrated/Interim Local Management Interface) which is defined on the virtual facility via which the LAN-system CLAD units are linked.

The transparency of management information collection and delivery based on the SNMP process by
15 means of the ILMI can provide a guarantee within a request management area of the site manager placed on the real LAN facility which is not the virtual facility. However, the above transparency can manage only resources placed on the LAN facility,
20 such as LAN-system terminals and routers (a group of LAN nodes) That is, the transparency excludes the CLAD units as objects for management.

In order to correlate the site manager with the resource information of the CLAD units
25 themselves that connote the ATM transmission, it is required to provide SNMP-based information understandable by the site manager from the apparatus equipped with the CLAD unit, irrespective of whether the ATM transmission is connoted.

Conventionally, each of the apparatuses
30 equipped with the CLAD units respectively provides, via a system separate from the system involved in transmission of the SNMP information concerning the ATM transmission, the site manager with the SNMP
35 information concerning data transmission. The above separate system is a dedicated or leased communication line. Thus, the CLAD units are added

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to the site manager as additional objects for management.

- The use of the dedicated communication line for management of the CLAD units does not
5 flexibly cope with a change of connections between the CLAD units.

SUMMARY OF THE INVENTION

- It is a general object of the present
10 invention to provide an apparatus in which the above drawback is eliminated.

- A more specific object of the present invention is to provide a transmission apparatus using an in-band type access system having an
15 improved flexibility with regard to management of CLAD units without a limitation due to the physical scale of the involved network.

- The above objects of the present invention are achieved by a transmission apparatus comprising
20 means for setting a resource management information path based on a given network management protocol onto PVC logically set traffic defined in ATM switching so that a customer network management agent process and a user network management system
25 can communicate with each other. It is to be noted that conventionally, resource management information is transmitted over the dedicated communication line. According to the present invention, the resource management information path is defined in a
30 transmission channel logically set on a payload carrier, and is transmitted along with user data (cells) in the ATM system. Thus, it is possible to avoid the problems resulting from the use of the dedicated communication line and to improve the
35 flexibility of applications to a large-scale WAN without physical limitations.

The transmission apparatus may further

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comprise means for setting a resource management information path used for resource management for a cell assembly and disassembly unit.

5 The transmission apparatus may further
comprise means for setting a resource management
information path used for resource management for a
cell assembly and disassembly unit (for example, an
ATM/user network interface) which is accommodated
outside of the transmission apparatus as an external
10 unit (for example, customer premises equipment).
Thus, a contact point with the user network
management system can be made, and the resource
management information can be transferred to the
customer network management agent process.

15 The transmission apparatus may further
comprise means for setting a resource management
information path used for resource management for a
cell assembly and disassembly unit which is directly
accommodated in the transmission apparatus. Thus, a
20 contact point with the user network management
system can be made, and the resource management
information can be transferred to the customer
network management agent process.

25 The transmission apparatus may further
comprise a controller which provides, through the
resource management information path, information
having a format understandable by the customer
network management agent process. Such a controller
has functions such as an ATM cell assembly and
30 disassembly function, an ATM/user network interface
function, a packet protocol data unit processing
function, an SNMP terminating function, an SNMP
application interfacing function, and an SNMP
application executing function.

35 The transmission apparatus may further
comprise a controller (for example, means for
realizing an IP routing function and an SNMP gateway

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function) which sends information extracted from the resource management information path to the customer network management agent process via an external interface (for example, an Ethernet LAN). The external interface serves as a cross point with the customer network management agent process other than the resource management information path set on the PVC logically set traffic defined by the ATM switch.

- The transmission apparatus may further
- comprise means for performing a resource management of a facility node in an STM transmission (for example, a data communication channel (DCC), an OS/NE (Operation System/Network Element), or LCN (Local Communication Network)) and a resource management of a cell assembly and disassembly unit in an ATM transmission (for example, ATM PVC, OS/NE or LCN).

- The transmission apparatus may further comprise an interface via which the resource management information can be sent to a transaction language (TL1) which performs a facility node resource management in an STM transmission and a common management information service element and can further be sent to the customer network management agent process and the user network management system.

- The above objects of the present invention are also achieved by a transmission apparatus comprising: an ATM/user network interface which makes a contact point with a user network management system which handles resource management information concerning a cell assembly and disassembly unit which is accommodated outside of the transmission apparatus as an external unit; a LAN interface which makes a contact point with another user network management system which handles resource management information concerning another cell assembly and

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thereof shown in Fig. 7 and a peripheral part thereof; and

Fig. 9 is a block diagram of a detailed structure of the APC part of the transmission apparatus shown in Fig. 8.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

A description will now be given of a management method related to the present invention in order to facilitate better understanding of the present invention.

Fig. 1 shows a management method related to the present invention. A system shown in Fig. 1 includes user's LANs, namely, Ethernet LANs 101 and 102, and a token ring LAN 103. The Ethernet LAN 101 is equipped with a site manager (network management system) 104 and a router 107. The Ethernet LAN 102 is equipped with a site manager 105 and a router 108. The token ring LAN 103 is equipped with a site manager 106 and a router 109. The routers 107 - 109 are connected by dedicated communication lines. In the network shown in Fig. 1, the site manager 105 functions as a master, and the site managers 104 and 106 respectively function as slaves. The site manager 105 collects management information concerning the site managers 104 and 106 therefrom, and integrally manages the users' networks.

The Ethernet LANs 101 and 102 are media (LAN nodes, also called islands) that are physically and logically different from the token ring LAN 103. These media are linked by the dedicated communication lines. When the network shown in Fig. 1 is expanded to further include a new user's network, it is required to include a site manager in the new network and link it to the other existing site managers by means of dedicated communication lines.

equipment) that can be called an "ATM channel switch integrated type composite system with an option of STM channel crossconnect and CLAD accommodation". Hereinafter, such a system is simply referred to as a transmission apparatus.

A description will first be given of the concept of the whole network configuration by referring to Fig. 2. Fig. 2 is a diagram for illustrating an access to a customer network management agent process from a network management system of each user, and a protocol stack utilized in the above process. The customer network management agent process performs an integrated management of the user's networks on behalf of the respective user's network management systems. The integrated management can be given in charge to a third provider (for example, a management company of an ATM public telephone network). In this case, the entrusted management company can place the transmission apparatus within its own network. Alternatively, a specific one of the management companies which manage the respective user's networks may integrally manage the user's networks.

Referring to Fig. 2, the whole network includes a customer premises network (CPN) 1, a service provider network (SPN) 2, and a network management system (NMS) 3, which system is placed outside of the networks 1 and 2. The management system 3 manages the whole network (except for management of the inside of the user's networks). The networks 1 and 2, and the network management system 3 are equipped with respective network equipment (NE), and are mutually connected via ATM transmission paths.

The customer premises network 1 is connected to a customer network management system (site manager) 11 via a user LAN facility 15 by

means of CLAD unit direct accommodation type LAN
interfaces 12 and 14. The customer network
management system 11 is a user-side network
management system (NMS) which manages resources in
5 the customer premises network 1. The service
provider network 2 is connected to a site manager 21
by means of a user LAN facility 22. The site
manager 21 is a user-side network management system
(NMS) which manages resources in the service
10 provider network 2.

The customer premises network 1 and the
service provider network 2 are mutually connected
via a transmission medium 4 by means of CLAD unit
direct accommodation type ATM/user network
15 interfaces 13 and 23. The transmission medium 4 is,
for example, an optical signal carrier, an
unshielded twisted pair wire (UTP), an electrical
carrier (EC), or a LAN.

A network element (NE) is placed into the
20 service provider network 2, and is equipped with an
OS/NE interface (local communication network (LCN)-
LAN) 24. The network element (NE) is connected to
an OS/NE interface 33 of the network management
system 3 via an Ethernet 5. The network management
25 system 3 has the original function of managing the
whole network and is further equipped with a
customer network management (CNM) agent process 31.
The customer network management agent process 31 has
a role of integrally managing the site managers of
30 the networks 1 and 2. In the aforementioned related
art, such a role is played by the master site
manager. The customer network management agent
process 31 controls a common management information
base 32.

35 A reference number (1) shown in Fig. 2
denotes that an SNMP access which will be described
later is made on a dedicated/dialup line. In

5 1.

10 access (2), there is illustrated a protocol stack
utilized by the SNMP access made on the transmission
medium 4. In this case, a customer SNMP management
path is not terminated by an SNMP (Simple Network
Management Protocol) agent process (the detail of
15 which will be described later) placed in the network
element NE, but is bypassed.

20 managers 11 and 21, that is, an SNMP management
packet (message) is sent to the customer network
management agent process 31 of the network
management system 3 by utilizing an idle area
available in the band of the ATM transmission path
25 established between the transmission apparatuses
rather than a transmission path on the switch side.
The customer network management agent process 31
integrally manages the network management
information concerning the respective user's
30 networks.

35 agent processes, which will be described later. The network element NE1 is a transmission apparatus having a gateway function, and the network elements

NE2 - NE4 are respectively remote transmission apparatuses. The network element NE1 is placed in, for example, a switch office, and is connected with a network management system (or OSS: Operation Support System) which manages the whole network. Information is transmitted and received on an SNMP protocol data unit (PDU) basis. The above-mentioned network management system is additionally provided with the aforementioned customer network management agent process. The network elements NE1 - NE4 are connected together via ATM transmission paths. Provisioning is carried out between the routers of the network elements NE1 - NE4. More particularly, provisioning is carried out by using a permanent virtual channel (PVC) used as an information exchange path via which the SNMP management packet is routed to the destination SNMP agent process. Permanent virtual channels as described above are respectively defined between the routers.

As to the network element NE3, a customer in-band type SNMP access, which will be described later, is illustrated as an example. The SNMP management message or package has the following two access formations. The first access formation allows an access via the ATM/user network interface/customer premises equipment (CPE) accommodating the CLAD unit. That is, the access is made via an ATM/UNI information 62 which will be described later. The second access formation allows an access in the case of the CLAD unit direct accommodation (that is, the access is made via a LAN interface 61 which will be described later). The SNMP management message of the user network is sent to the network therefrom by using one of the two access formations, and is delivered to the customer network management agent process 31 via the PCV.

Fig. 4 schematically shows an example of a

configuration of the transmission apparatus which functions as the network element NE according to the embodiment of the present invention. The LAN interface 61, which has briefly been described, accommodates a LAN which does not have the CLAD function. The ATM/user network interface (ATM/UNI) 62, which has briefly been described, accommodates a network having a CLAD function such as an ATM cell relay service. An ATM switch matrix part 63 determines a route. An apparatus controller 64 controls apparatus information in the transmission apparatus. The apparatus controller 64 has an SNMP interface (IP routing) 66, and communicates, on the SNMP protocol data unit basis, with the customer network management agent process (CNM Agent: see Fig. 2) provided outside of the transmission apparatus.

A description will now be given of the main operations and functions of parts indicated by reference numbers (0) - (4) shown in Fig. 4.

(0): Provisioning of a management-use PVC logical channel is performed in order to route the SNMP management message to the customer network management agent process for in-band customer SNMP traffic.

(1): The value of VPI/VCI used by the customer SNMP management message is prepared in the customer premises equipment (which accommodates the CLAD unit) in a case where the SNMP management message is input from an ATM cell relay service (that is, a case where the SNMP management message is transmitted via the ATM/user network interface 62).

(2): An IP-VCC (Internet Protocol - Virtual Channel Connection) lookup entry is created in order to make it possible for the apparatus controller 64 to transmit a customer SNMP request message toward the customer network management agent

subsystem and the CMISE subsystem.

In contrast, in the resource management of the CLAD unit itself accommodated in the transmission apparatus, particular cell transmission channels that are logically set on the payload carrier are used as user resources (a resource such as a LAN formation terminal and a router) and paths for collecting and delivering information concerning CLAD accommodating nodes, the paths being commonly owned in the network formed by the above nodes. The above resource management is implemented by a management process subset which is called an SNMP management subsystem and is capable of collecting node information.

The real substance of above three management subsystems is placed in a processor provided in the apparatus controller 64 which controls the apparatus information in the transmission apparatus, and commonly own a common management information base (CMIB: Common Management Information Base), which is controlled under the subsystems.

As an application of the present invention, a description will be given of the structure of resource management paths used for a CLAD unit resource defined as the in-band type SNMP access and a user resource (such as a LAN formation terminal or a router). The term "in-band type" of the in-band type SNMP access is used as a meaning that the resource information provision paths are assigned to particular cell transmission channels logically set on the payload carrier and the ATM transmission is performed together with user data (cells).

The transmission apparatus provides two SNMP interfaces. One of the two SNMP interfaces is an out-band (an external channel carrier) interface via an SNMP management port. The above out-band

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interface is, for example, the Ethernet shown in Fig. 2. The other SNMP interface is an in-band interface which uses the service function part of the present transmission apparatus, such as an ATM/user network interface or an interface with a LAN. The ATM/UNI transmission medium 4 shown in Fig. 2 is an in-band interface. The term "in-band" is used as a meaning of a carrier subset provided by the particular cell transmission channel logically set on the payload carrier, as has been described previously.

The resource management of the CLAD units are configured so as to cope with the following two cases.

(1) Case 1 (a case via ATM/UNI interface 62):

The present transmission apparatus does not directly accommodate the CLAD unit. However, there is a case where a cell relay service provided by the present transmission apparatus brings the CLAD unit function by means of the CLAD unit provided in the customer premises equipment (CPE) located in a position opposite to the present transmission apparatus. In such a case, the SNMP management subsystem of the present transmission apparatus puts resource management information concerning the CLAD unit up on the customer network management agent process (CNM Agent) located outside. Thus, an exchange of the resource management information concerning the CLAD unit between the customer network management agent process and the site manager located on the side of the ATM cell relay service network is bypassed.

(2) Case 2 (a case via the LAN interface 61):

When the present transmission apparatus accommodates the CLAD unit, the SNMP management subsystem of the present transmission apparatus

provides resource management information concerning the CLAD unit.

In order to cope with the above-mentioned two cases, it is required that the out-band access and the in-band access cooperate with each other. Such a cooperation will be described with reference to Fig. 2.

The present transmission apparatus is equipped with an OS/NE (Operation System/Network Element) for the out-band access (see the OS/NE interface 24 shown in Fig. 2). Generally, the OS/NE interface is a LAN (Ethernet) or X.25. However, in the present embodiment of the invention, only a LAN port is presented as an interface with the out-band access because the LAN underlies the physical layer of the SNMP-system application (see Ethernet 1 shown in Fig. 2). The customer network management agent process 31 connected to the LAN port is the object of the out-band access. However, the customer network management agent process 31 is generally placed in an agent formation as a part of the network management system 3 of the user.

With regard to the in-band access, the site manager provided under the following condition is the object of the in-band access. That is, the site manager is connected to the LAN network extending to the user side via the CLAD unit in the customer premises equipment located in a position opposite to the present transmission apparatus by the cell relay service using the ATM transmission (see the LAN interface 12 shown in Fig. 2) or the CLAD unit which is directly accommodated in the present transmission apparatus (see the ATM/UNI interfaces 13 and 23 shown in Fig. 2).

In this regard, the in-band type SNMP access is implemented by forming the information path of the customer network management agent

process by the out-band access and the in-band access. However, besides the in-band type SNMP access, an OSI-7L (Open System Interconnect-7 Layer) coexists in the out-band access for supporting the OS/NE interface.

Further, in the present transmission apparatus, all SNMP packets exchanged by the customer network management agent process are flowed to the upper network management system only in order to efficiently support the customer network management agent process and ensure security of information at maximum in terms of the situation in which the SNMP management subsystem of the present transmission apparatus puts resource management information concerning the CLAD unit up on the customer network management agent process (CNM Agent) located outside, and an exchange of the resource management information concerning the CLAD unit between the customer network management agent process and the site manager located on the side of the ATM cell relay service network is bypassed. The above upper network management system is a system which takes the position that it manages the whole network or a shared part of the network (see the network management system 3 shown in Fig. 2).

It will be seen from the above description that in the in-band type SNMP access, the SNMP management subsystem located at the interface between the out-band access and the in-band access should have two functions. One of the two functions is an external interface support function, and the other is the SNMP agent function.

The external interface function establishes and maintains an SNMP connection by an external SNMP management provider such as the customer network management agent process 31 placed ahead of the OS/NE interface and the UDP/IP (User

Datagram Protocol/Internet Protocol).

The SNMP agent process function as shown in Fig. 3 handles the SNMP management information and prepares SNMP information exchange requests and a response process for these requests. More particularly, the SNMP agent process that has the common management information base (CMIB) under the control accesses the common management information base by using an appropriate application interface when the SNMP management message comes to the present transmission apparatus via the SNMP management port irrespective of whether the message is based on the in-band access or the out-band access. Then, the SNMP agent process gets information from an abstraction function unit placed in the common management information base and sets (requests) an operation as a logical window of a detection system (such as condition, PM, or alarm) or an operation system (control or provisioning) which cooperates with the physical substance of the present transmission apparatus.

In addition, the SNMP agent process also supports an autonomous report process. More particularly, the SNMP agent process transfers information concerning a predetermined failure in hardware or software to all SNMP management providers in the network by a method called an SNMP trap, so that internal information in the respective SNMP management providers can be updated.

The in-band access system operation requires the following functions which serve as hands and feet for exchanging information by the above-mentioned SNMP management subsystems.

IP routing function

An IP routing function is implemented on the SNMP management subsystems, and routes, from the OS/NE interface, all SNMP management packets sent by

the in-band access towards the customer network management agent processes placed outside.

Information path setting function

5 An information path setting function sets
a logical channel for a cell transmission in a
permanent connection formation in the ATM switch
matrix part 63 of the present transmission apparatus.
The information path setting function sets an
10 information exchange path between a user-system
facility interface part (LAN interface 61) with
accommodation of the CLAD unit (which is in the
local or the present transmission apparatus, or a
remote apparatus other than the present transmission
15 apparatus) or the cell relay service part without
accommodation of the CLAD unit and the IP routing
function part of the SNMP management subsystem in
the apparatus controller 64.

 A description will now be given of the
resource management of the CLAD unit based on the
20 above two functions with regard to the
aforementioned two cases (Case 1 and Case 2). More
particularly, the following description is directed
to a scenario as to how the IP routing function
operates.

25 (1) Case 1:

 Case 1 is applied to a service interface
called ATM/user network interface (ATM/UNI). The IP
routing function of the customer premises equipment
(CPE) inquires of the customer network management
30 agent process 31 which VPI/VCI (Virtual Path
Identifier/Virtual Channel Identifier) should be
used to establish the SNMP management information
path, that is, which particular cell transmission
channel logically set on the payload carrier should
35 be used. Then, the customer premises equipment
(CPE) registers the VPI/VCI determined by the agent
process 31 in its own local routing information

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table. Once the routing information is taken in the customer premises equipment, the ATM switch matrix part 63 of the present transmission apparatus can exchange, at any time, the SNMP management message in the same manner as that of an exchange of a message with a connection path, and can send the SNMP management message to the customer network management agent process 31 of the destination node.

(2) Case 2:

Case 2 is applied to the LAN interface (LAN interface 61) which accommodates the CLAD unit. In this case, when the first SNMP management message arrives along with the IP address addressed to the customer network management agent process 31, the IP routing function of the LAN interface 61 operates and asks the IP packet switch part of a service process control part of the LAN interface 61 having predetermined PVC information to issue PVC information. Then, the IP routing function registers the PVC information thus obtained in its own LAN/IP routing information table located in a local position of the IP routing function. Thus, it becomes possible to easily add the values of the VPI/VCI obtained by the IP routing function of the LAN interface 61 to any incoming SNMP management message with the same IP address added thereto. As a result, it is possible to cause the SNMP message to pass through the PVC indicated by the PVC information and route it to the customer network management agent process connected to a similar "tube" of the PVC.

A further detailed description will be given, with reference to Figs. 5 through 9, of the present transmission apparatus.

Fig. 5 is a block diagram illustrating the function of the present transmission apparatus. Fig. 6 is a diagram of a hardware structure of the

present transmission apparatus. Fig. 7 is a block diagram of a control system of the present transmission apparatus, and Fig. 8 is a block diagram of an APC (ATM Processing Control) part of the control system thereof and a peripheral part thereof. Fig. 9 is a block diagram of a detailed structure of the APC part of the present transmission apparatus.

First, a description will be given of the whole operation and function of the present transmission apparatus.

Referring to Fig. 5, the SNMP protocol data unit (PDU) of the site manager interfaced by the ATM/UNI (via CPE) or LAN (direct accommodation) is coupled to a service complex of the present transmission apparatus shown in Fig. 5 in such a manner that an ATM/UNI channel card is coupled in the ATM cell formation and a LAN card is coupled in the packet formation. These cards are those among a group of cards called "trib" (see Fig. 6). The SNMP protocol data unit thus coupled to the service complex interfaced by the ATM/UNI channel card is sent to a switching complex shown in Fig. 5 as it stands (without missing the ATM cell formation). The SNMP protocol data interfaced by the LAN, which is arranged in the package formation, is mapped, by segmentation, on the ATM cell(s) by the CLAD unit accommodated in the transmission apparatus. The ATM cell thus produced is sent to the switching complex.

The SNMP protocol data unit arranged in the ATM cell formation is applied to the switching complex and is taken in an STS-SF (Synchronous Transport Signal Switch Fabric) card shown in Fig. 6. Then, the SNMP protocol data unit is transferred to an ATM-SF card having ATM visibility shown in Fig. 6. The ATM-SF card compares the VPI/VCI values corresponding to the IP address with which the SNMP

should be terminated with the VPI/VCI values described in the header of the incoming ATM cell. If both the VPI/VCI values coincide with each other, the ATM cell is extracted and is then subjected to a cell spacing adjustment. Then, the ATM cell is sent to the APC (ATM Processing Control) via a PIF (Port InterFace).

The SNMP protocol data unit sent to the APC is reassembled into an SNMP-PDU packet due to the function of an SAR on the APC and is encapsuled on an HDLC frame (see Fig. 9). The encapsuling format on the HDLC frame can be realized by an arbitrary method which matches the HDLC, such as ATM-DXI (Data Exchange Interface).

The SNMP protocol data unit encapsuled on the HDLC is transferred from the APC to the DCC via an HDLC local link provided between the APC and DCC (see Figs. 7 and 8). The DCC is equipped with a protocol stack which terminates the underlay part of the SNMP protocol data unit. However, the routing-related function is not included because the in-band type SNMP is static routing by pre-provisioning and does not need the autonomous routing. The DCC is equipped with a dedicated message transfer path (which is an HDLC local link between a CPU (NECPU) of the transmission apparatus and the DCC) toward the NECPU.

The DCC is also equipped with an LCN interface separate from an APC-DCC-NECPU system path, and realizes mutual work with the present transmission apparatus through an SNMP-PDU based communication with the customer network management agent process connected to a port to which the LCN interface is connected (see Fig. 4).

The SNMP message sent to the NECPU is extracted as information for operating its own common management information base (CMIB) by a high-

order process, and is utilized as a database configuration information element for performing the LAN-system resource management and network management.

- 5 The database thus built up can be referred to by the external customer network management agent process by coupling the LCN port on the DCC by means of the NECPU-DCC-LCN system path.

- 10 Referring to Fig. 5, the whole function configuration of the present transmission apparatus is made up of the following five complexes. Each of the five complexes has the following functions.

Transport complex

- LINE terminating function
15 -SONET (OC-n)/SDH transport function

Service complex

- TRIB terminating function
 -STM/ATM/LAN/FR accommodating function

where FR is an abbreviation of the frame relay

- 20 Switching complex

- LINE/TRIB traffic (data unit and frame timing) connecting function on the STS/VT/ATM cell bases

- STS/VT-TSI function by crossconnect
25 -VC/VP-ATM exchanging function

Synchronization complex

- System timing synchronizing function by determination and distribution of in-apparatus timing

- 30 Management complex

- Severance by in-apparatus information collection and exchange and as carrier quality checking and performance evaluating function
 -Apparatus operation executing (including
35 download and backup) function
 -Network management (TL1, FTAM, CMLSE, SNMP, FTP) interface function.

- Referring to Fig. 6, packages/units forming the hardware structure of the present apparatus are configured by groups of regular or optional packages/units as described below. The terms "regular" and "optional" are a category on an application function basis. Another category based on a discrimination between electrical and optical carriers, a working/protection discrimination, a large/small channel capacity and a performance/capability based grade is a menu of packets/units.
- (1) Regular package/unit
- Transport complex
- LINE ... OC-12 (STS/STSc/CRS)/OC-3
- (STS/STSc/CRS) where CRS denotes cell relay service.
- Service complex
- TRIB ... DS1(VT1CRS)/DS3(STS/CRS)/DS1FR/LAN (electrical signal system Ethernet) where FR denotes frame relay.
- Switching complex
- STS-SF,VT-SF,ATM-SF/APC where APC denotes ATM processing control.
- Synchronization complex
- SYNC
- Management complex
- NECPU/DCC/APS-HUB where APS-HUB denotes automatic protection switching, hubing where APS is an abbreviation of Automatic Protection Switching.
- (2) Optional package/unit
- Transport complex
- LINE ... OC-48 (STS/STSc/CRS)
- Service complex
- TRIB ... DS1CE/DSIMA/DS3TR/DS3FR/DS3CE/LAN (optical signal system Ethernet)/LAN (token ring)/SPC where CE denotes circuit emulation, IMA denotes inverse multiplex adapter, TR denotes transmux, and SPC denotes service processing control.

the crossconnections based on the procedure between the exchange intelligence parts is recorded as an exchange execution unit. There is also provided with means for controlling the use of channels in a quantitative fashion on the basis of whether an exchange connection fails or not.

The autonomous crossconnections are categorized into any of the following three types, PVC, software PVC and SVC, which depend on the subject which issues a request. The PVC and software PVC execute the static allocation. There is a PVC which indicates a connection route in a fully manual fashion, and a software PVC in which predetermined route information is input and set and connection route information is determined with a help of a PNNI (Private Network to Network Interface) software. The SVC executes a dynamic allocation. Also, as an independent control algorithm, the ATM-SF and APC perform an APS (Automatic Protection Switching) control for execution of ATM path protection (VP servibility) and manages the execution.

(6) SNYC

This handles a system timing synchronization due to determination and distribution of the in-apparatus timing.

(7) NECPU

This maintains the in-apparatus management information base. This serves as a brain which supplies, by messages, associated hardware parts with concrete instructions based on logical actions extended in the virtual field by an external operation so that the logical actions are changed to physical and tangible actions. More particularly, the NECPU executes surveillance, quality check and performance evaluation due to in-apparatus information collection and exchange and executes

operations on the transmission apparatus (including download and backup).

(8) DCC

This has a physical path for information exchange with the external operation and a logical channel equipped with a connection procedure on a layer structure (protocol) basis. The DCC cooperates with the NECPU to form the network management (TL1, FTAM, CMISE, SNMP, FTP) interfaces.

10 (9) APS/HUB

This functions as an actuator, which directly contacts packages/units forming hardware parts which are in charge of transport, service, switching, synchronization and so on, and interprets operation messages issued by the NECPU or the like in order to convert the operation messages into the physical and tangible actions. The APS/HUB also functions as a sensor which collects and interprets physical and tangible states and phenomena of the packages/units, and sends resultant operation messages to the NECPU. Further, as an independent control algorithm, the APS/HUB is in charge of APS control and management of execution. Examples of the APS control are path protection (UPSR, STS-BLSR, VT-BLSR), line protection (optical units), apparatus protection (electrical units, and units in switching and synchronization complexes).

(10) OW, MDM

This has an order wire function and a modem unction which extends a local craft to a VF band for remote crafting.

The present invention is not limited to the specifically disclosed embodiments, and variations and modifications may be made without departing from the scope of the present invention.

WHAT IS CLAIMED IS:

5

1. A transmission apparatus comprising means for setting a resource management information path based on a given network management protocol onto PVC logically set traffic defined in ATM switching so that a customer network management agent process and a user network management system can communicate with each other.

15

2. The transmission apparatus as claimed in claim 1, further comprising means for setting a resource management information path used for resource management for a cell assembly and disassembly unit.

25

3. The transmission apparatus as claimed in claim 1, further comprising means for setting a resource management information path used for resource management for a cell assembly and disassembly unit which is accommodated outside of the transmission apparatus as an external unit.

35

4. The transmission apparatus as claimed in claim 1, further comprising means for setting a

resource management information path used for
resource management for a cell assembly and
disassembly unit which is directly accommodated in
the transmission apparatus.

5

5. The transmission apparatus as claimed
10 in claim 1, further comprising a controller which
provides, through the resource management
information path, information having a format
understandable by the customer network management
agent process.

15

6. The transmission apparatus as claimed
20 in claim 1, further comprising a controller which
sends information extracted from the resource
management information path to the customer network
management agent process via an external interface.

25

7. The transmission apparatus as claimed
in claim 1, further comprising means for performing
30 a resource management of a facility node in an STM
transmission and a resource management of a cell
assembly and disassembly unit in an ATM transmission.

35

8. The transmission apparatus as claimed

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in claim 1, further comprising an interface via which the resource management information can be sent to a transaction language (TL1) which performs a facility node resource management in an STM transmission and a common management information service element and can further be sent to the customer network management agent process and the user network management system.

10

9. A transmission apparatus comprising:
- 15 an ATM/user network interface which makes a contact point with a user network management system which handles resource management information concerning a cell assembly and disassembly unit which is accommodated outside of the transmission apparatus as an external unit;
- 20 a LAN interface which makes a contact point with another user network management system which handles resource management information concerning another cell assembly and disassembly unit which is directly accommodated in the
- 25 transmission apparatus; and
- an external interface which makes a contact point with a customer network management agent process.

[illegible][illegible]

FIG.1

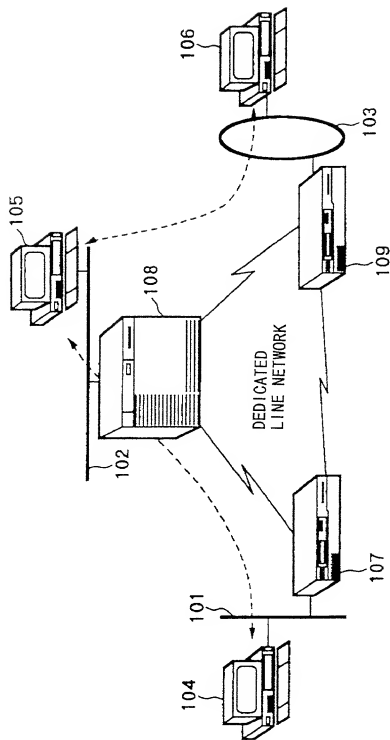


FIG. 3

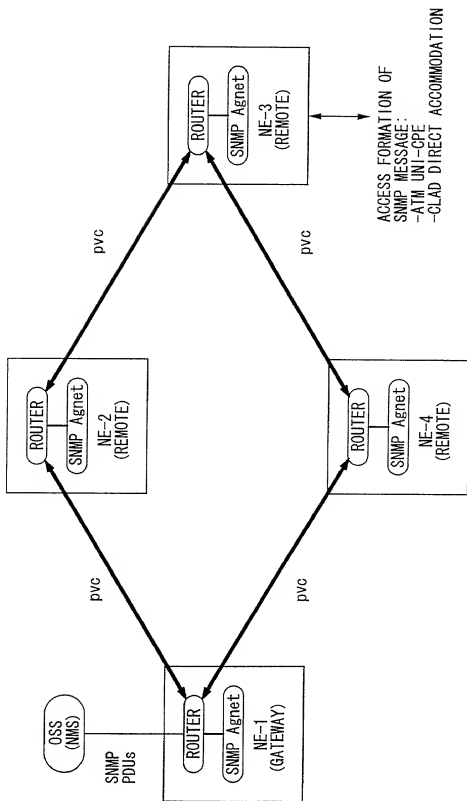
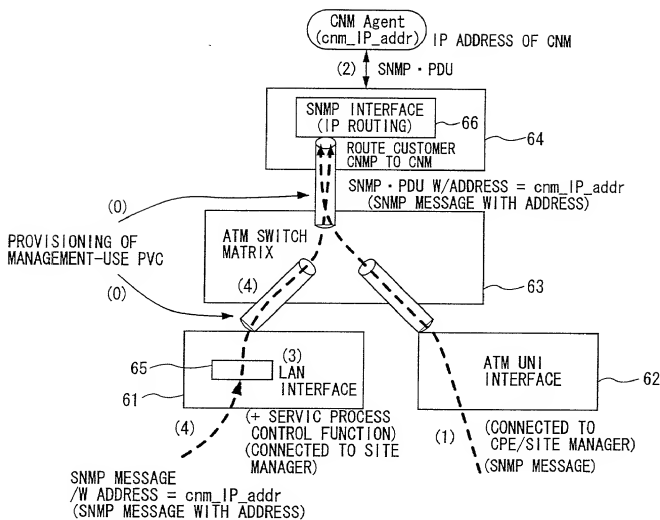


FIG. 4



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FIG. 5

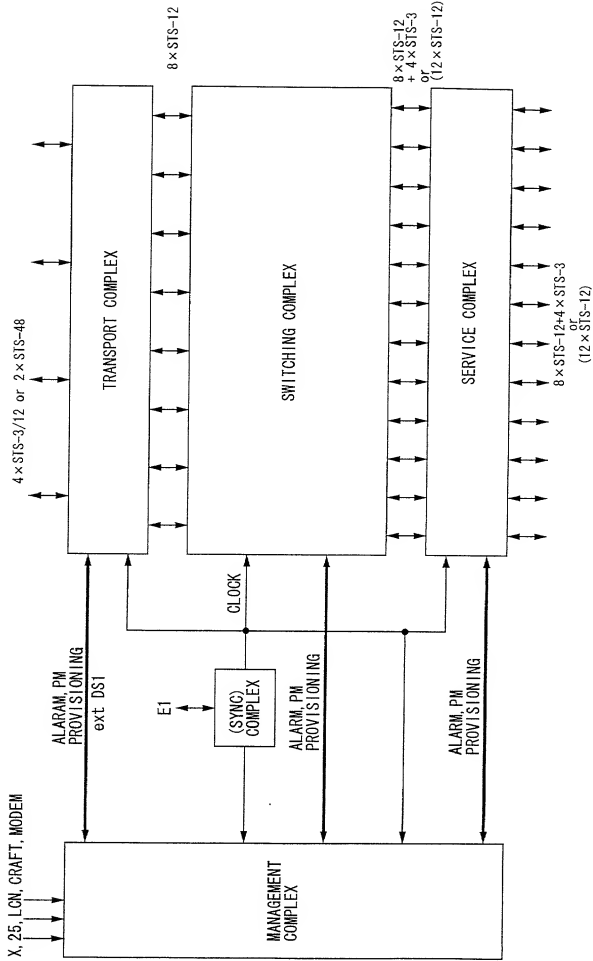


FIG. 6

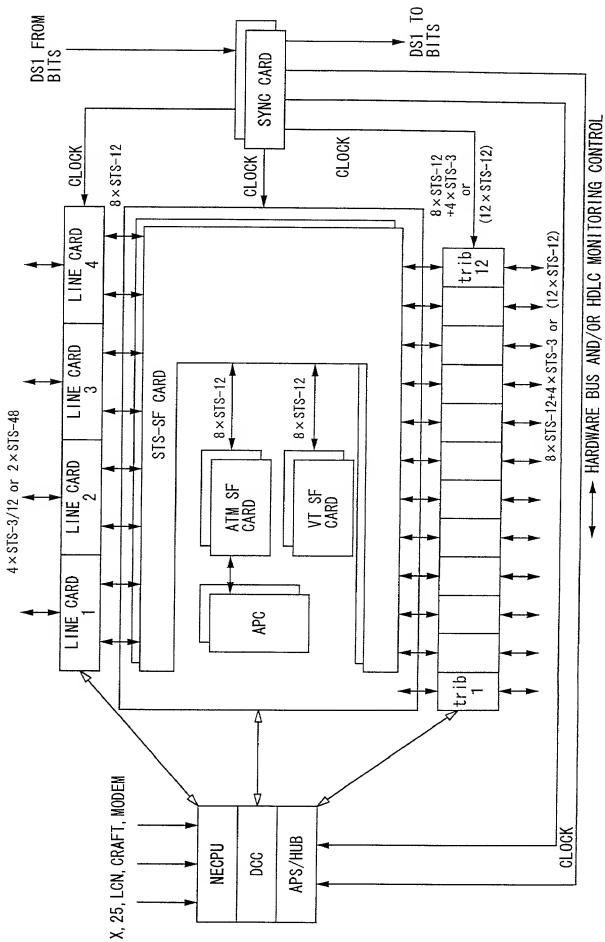


FIG. 7

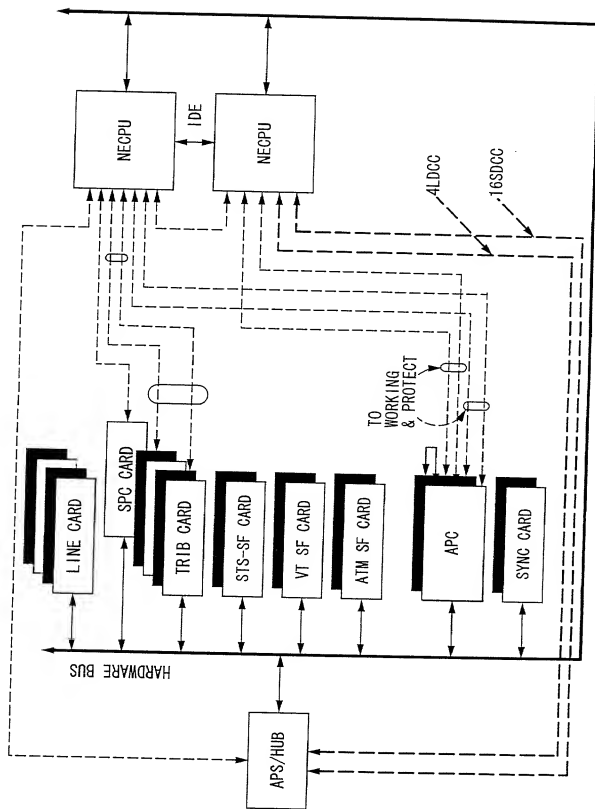
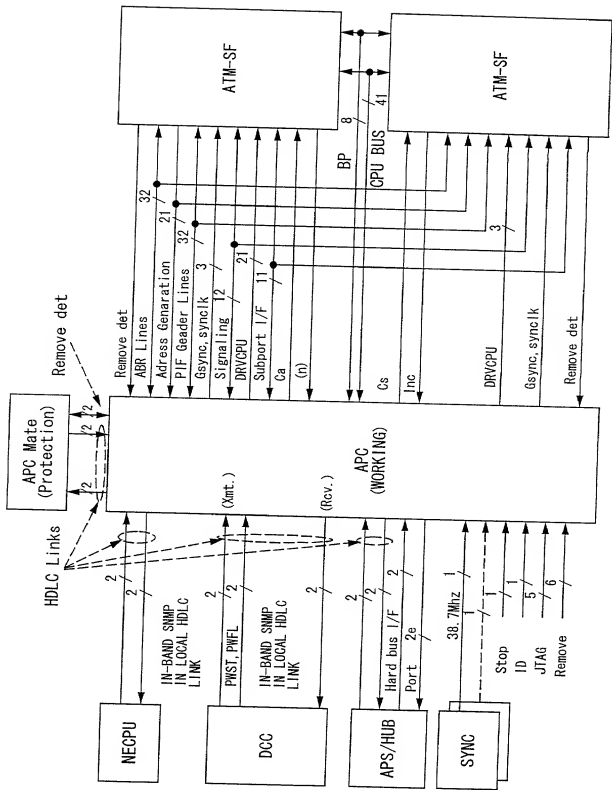


FIG. 8



Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention identified

APPARATUS USING IN-BAND TYPE

ACCESS SYSTEM

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約国際出願番号を _____ とし、
（該当する場合） _____ に訂正されました。

☐ was filed on _____
as United States Application Number or
PCT International Application Number
_____ and was amended on
_____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1章56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

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私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明名証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明名証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)

外国での先行出願 Pat. Appln. No. 11-009420	Japan
(Number) (番号)	(Country) (国名)
(Number) (番号)	(Country) (国名)

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(Application No.) (出願番号)	(Filing Date) (出願日)
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(Application No.) (出願番号)	(Filing Date) (出願日)
(Application No.) (出願番号)	(Filing Date) (出願日)

私は、私自身の知識に基づいて本宣言書で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づき表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の表明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣言を致します。

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Priority Not Claimed

優先権主張なし

18/January/1999
(Day/Month/Year Filed) (出願年月日)
(Day/Month/Year Filed) (出願年月日)

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.) (出願番号)	(Filing Date) (出願日)
-----------------------------	------------------------

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)
(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Japanese Language Declaration

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 手続きを米特許商標局に対して遂行する弁理士または代理人
 として、下記の者を指名いたします。(弁理士、または代理
 人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint
 the following attorney(s) and/or agent(s) to prosecute this
 application and transact all business in the Patent and Trademark
 Office connected therewith (list name and registration number)

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第二共同発明者	Full name of second joint inventor, if any		
第二共同発明者	日付	Second inventor's signature	Date
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私書箱	Post Office Address		

(第三以降の共同発明者についても同様に記載し、署名をす
 ること)

(Supply similar information and signature for third and subsequent
 joint inventors.)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Seichi KOBAYASHI**

Filed: : **Concurrently herewith**

For : **APPARATUS USING IN-BAND TYPE ACCESS SYSTEM**

Serial No.: **Concurrently herewith**

January 18, 2000

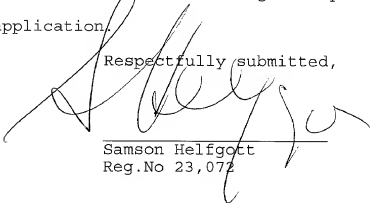
Assistant Commissioner of Patents
Washington, D.C. 20231

SUB-POWER OF ATTORNEY

S I R:

I, Samson Helfgott, Reg. No. 23,072 attorney of record herein, do hereby grant a sub-power of attorney to Linda S. Chan, Reg. No. 42,400, Jacqueline M. Steady, Reg. No., 44,354 and Harris A. Wolin, Reg. No. 39,432 to act and sign in my behalf in the above-referenced application.

Respectfully submitted,



Samson Helfgott
Reg.No 23,072

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